

# 2019 Rocky Mountain ASC Conference and Trade Show

June 28, 2019



**COLORADO**  
Health Facilities & Emergency  
Medical Services Division  
Department of Public Health & Environment

**REACH**

# The Numbers

128 Licensed ASCs

8 Licensed only

120 Licensed and Medicare certified

32 Deemed ASCs

13 Convalescent Centers - licensed  
only



# Survey Frequencies

Each FFY 25% of non-deemed certified ASCs are surveyed. Focus on facilities not surveyed in more than 4 years that may be at risk for quality problems.

No more than 6 years can elapse between surveys for any non-deemed ASC.

Licensed only ASCs are surveyed every 3 years

## More Surveys

Each FFY CMS assigns 5-10% of deemed ASCs in the state to receive a validation survey by the state subsequent to the survey by the accrediting organization.

Complaint investigations - Once assigned by CMS complaints triaged as high risk are investigated within 2 days or 45 days of receipt.

# And Even More Numbers!

FFY16 - 20 recertification surveys conducted and 4 complaint investigations

FFY17 - 23 recertification surveys conducted and 5 complaint investigations

FFY18 - 21 recertification surveys conducted and 0 complaint investigations

# Survey Trends

Most frequently cited deficiencies 2018-

1701 - Infection Control Committee - 11

Sanitary Environment - standard - 9

Infection Control Program - standard - 9

Administration of Drugs - standard - 8

Infection Control - Condition - 7

Similar trends in 2016, 2017 and currently

# How To Prepare For Survey

Know your resources for regulatory compliance -

- ❖ CMS State Operations Manual -Appendix L (Conditions for Coverage and Standards)
- ❖ CMS Exhibit 351 - Infection Control Tool
- ❖ 6 CCR 1011-1 Chapters 2 and 20

# How To Prepare For Survey cont.

Know the professional references used at your facility

AORN Guidelines

Manufacturer's instruction for use

CDC's Infection Control Standards and NHSN infection control tracking system



# Legislative and Rule Revision Update

HB 19-1041 - Evacuation of surgical smoke  
Policy adoption by May 1, 2021

SB 19-052 - EMS Scope of Practice  
Allows EMS providers to practice in  
clinical setting

SB 19-201 - Open Communications About  
Adverse Health Incidents

## SB 19-201 cont.

Incident - Outcome resulting in death or physical injury to the patient.

The healthcare provider or the provider jointly with the facility may notify the patient or representative of the desire to enter into an open discussion regarding the outcome.

# Chapter 2 Rule Revision

Revision work is almost completed and should go to the Board of Health for consideration in November 2019.

This chapter contains requirements for FGI, Patient Rights, Complaints, Quality Management Plans, HAI Reporting and Influenza Vaccination reporting.

# Other Rule Revision

Chapter 4, Hospital Rule Revision - Starts in October 2019. If you would like to be on the stakeholder listserv to receive meeting information and draft revisions, let us know.

Chapter 13 - FSED - Starts January of 2020

# Contacts

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